



86P/3736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER R3700

In Re: Application of
William E. Baumzweiger and
Kathleen L. Hannan

Art Unit: 3736

Serial No.: 09/503,656

Examiner: Michael C. Astorino,
Esq

Filed: 02/04/2000

For: Brainstem and Limbic
Disorder (BALD)

CERTIFICATE OF MAILING

I, Nathan Boatner, declare that on this day of 11/09/2001
I deposited in the United States Post Office the following
documents with postage prepaid addressed to
Assistant Commissioner For Patents, Washington, DC, 20231

DATED: November 09, 2001


Nathan Boatner

This certificate of mailing;
Request for filing a patent application under 37 CFR 1.60;
Petition for extension of time under 37 CFR 1.136(a);
A copy of the application in this case;
The divisional and continuation in part application;
Oath of inventorship;
Small entity declaration;
Information disclosure statement;
Response to official action; and
Filing fees as follows:

Basic filing fee for the new application	\$370
Filing fee petition to make special	130
Extension of time to respond	460
Total enclosed	\$960



PTO/SB/13 (11-86)

Approved for use through 6/30/99. OMB 0651-0033
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.80

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
50065	CLASS	SUBCLASS	Michael C Astorino Esq	3736

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231This is a request for filing a ☒ continuation ☒ divisional application under 37 CFR 1.80, of pending prior
Application Number 09 1 503 656, filed on _____ entitled _____

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 09 1 503 656, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.18(c))		6 - 20 =		x \$ _____ =	\$
INDEPENDENT CLAIMS (37 CFR 1.18(d))		2 - 3 =		x \$ _____ =	
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(e))				+ \$ _____ =	
				BASIC FEE (37 CFR 1.18(f))	+ 740
				Total of above Calculations =	740
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	370
				TOTAL =	370

2. ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27
☒ is enclosed.
☐ was filed in prior application number _____ and such status is still proper and desired (37 CFR 1.28(a)).
3. ☐ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
4. ☐ A check in the amount of \$ 960 is enclosed.
5. ☒ Cancel in this application original claims 1, 3, 4 & 6 to 77 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☐ The inventor(s) of the invention being claimed in this application is (are): William E Benzweiser et al
7. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.80(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
8. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☒ division of application number 09 1 503 656, filed 02/04/2000, (status, abandoned, pending, etc.)."

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



TYPES, CROSS-NOTING, AND STATUS OF APPLICATION

201.06(a)

PTO/SB/13 (11-96)
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(REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60, PAGE 2)9. ☒ New formal drawings are enclosed.10. ☐ Priority of foreign application number _____, filed on _____ in _____
is claimed under 35 U.S.C. 119(a) - (d).☐ The certified copy has been filed in prior application number ____ / _____, filed _____.11. ☐ A preliminary amendment is enclosed.12. ☐ The prior application is assigned of record to _____13. ☐ Also enclosed:14. ☒ The power of attorney in the prior application is to: Nathan Boutnev, Attorneya. ☒ The power of attorney appears in the original papers in the prior application.b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.c. ☒ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)☐ Customer Number

OR

☒ Firm or☒ Individual Name

Type Customer Number here

Place Customer Number Bar
Code Label hereAddress PMB 692, 7095 Hollywood BlvdAddress Los Angeles CA 90028

City _____ State _____ ZIP _____

Country _____

Telephone 213-840-8286 Fax 909-494-4076Date 11/09/01 Signature NA

Signature

Nathan Boutnev #3285-6

Typed or printed name

☐ Inventor(s)☐ Assignee of complete interest. Certification under 37 CFR 3.73(b) is enclosed.☒ Attorney or agent of record☐ Filed under 37 CFR 1.34(a)

Registration number if acting under 37 CFR 1.34(a) _____